



PATENT
SP02-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

03C0

Applicant(s): Havens et al.

Serial No.: 10/044,595

Group Art Unit: TBA

Filed: January 9, 2002

X3
Examiner: TBA

For: OPHTHALMIC FILTER MATERIALS

Assistant Commissioner for Patents
Washington, DC 20231

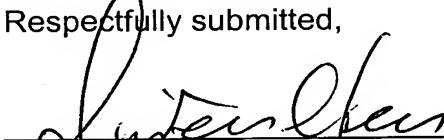
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97 - 1.98

Sir:

The Examiner's attention is hereby directed to the following reference listed on the attached Form PTO-1449. One copy of the reference is enclosed.

I hereby certify that no item of information contained in the Information Disclosure Statement was cited in a communication from a Foreign Patent Office in a counterpart, foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, neither was it known to any individual having a duty to disclose more than 3 months prior to the filing of this statement.

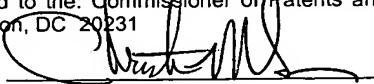
Respectfully submitted,



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Date: April 26, 2002

Date of Deposit: May 2, 2002
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

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LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

MAY 07 2002

APPLICANT Havens et al.

FILING DATE 1-9-02

GROUP TO
BE ASSIGNED TBA

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	3,208,860	9/28/65	Armistead et al.			
	3,562,172	2/9/71	Ono et al.			
	3,567,605	3/2/71	Becker			
	4,190,451	2/26/80	Hares et al.			
	4,215,010	7/29/80	Hovey et al.			
	4,284,686	8/18/81	Wedding			
	4,342,668	8/3/82	Hovey et al.			
	4,634,767	1/6/87	Hoelscher et al.			
	4,637,698	1/20/87	Kwak et al.			
	4,699,473	10/13/87	Chu			
	4,720,547	1/19/88	Kwak et al.			
	4,756,973	7/12/88	Sakagami et al.			
	4,784,474	11/15/88	Yamamoto et al.			
	4,785,097	11/15/88	Kwak			
	4,792,224	12/20/88	Kwiatkowski et al.			
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	4,851,471	7/25/89	Maltman et al.			
	4,851,530	7/25/89	Rickwood			
	4,889,413	12/26/89	Ormsby et al.			
	4,909,963	3/20/90	Kwak et al.			
	4,913,544	4/3/90	Rickwood et al.			
	4,931,219	6/5/90	Kwiatkowski et al.			
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	4,936,995	6/26/90	Kwiatkowski			
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	5,066,818	11/19/91	Gemert et al.			
	5,106,998	4/21/92	Tanaka et al.			
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	5,130,058	7/14/92	Tanaka et al.			
	5,130,353	7/14/92	Fischer et al.			
	5,139,707	8/18/92	Guglielmetti et al.			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	5,166,345	11/24/92	Akashi et al.			
	5,171,636	12/15/92	Castaldi et al.			
	5,180,254	1/19/93	Matiere			
	5,180,524	1/19/93	Casilli et al.			
	5,185,390	2/9/93	Fischer et al.			
	5,200,116	4/6/93	Heller			
	5,233,038	8/3/93	Guglielmetti et al.			
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	5,244,602	9/14/03	Van Gemert			
	5,246,630	9/21/93	Selvig			
	5,381,193	1/10/95	Wedding			
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	6,197,711	3/6/01	Havens et al.			
	6,262,155	7/17/01	Florent et al.			

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	AL	EPA 0 171909	7/3/85	EPC				
	AM	EPA 0 508219	12/17/84	EPC				
	AN	EPA 0 232295	7/21/86	EPC				
	AO	EPA 0 562915	3/17/93	EPC				
	AP							
	AQ							

OTHER ART (Including Author Title Date Pertinent Pages etc.)

AR		<i>Photochromism</i> , G. Brown, Ed., Techniques of Chemistry, Wiley Interscience, Vol. III, 1971, Chapter III, pp. 45-294, R.C. Bertelson.
		<i>Photochromism, Molecules and Systems</i> , Edited by H. Durr, H. Bouas-Laurent, Elsevier, 1990, Chapter 8, "Spiropyrans," pp. 314-455, R. Guglielmetti, all herein incorporated by reference.
AS		
AT		

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